



Guernsey Financial
Services Commission

INV 02601

**THE PROTECTION OF INVESTORS (BAILIWICK OF GUERNSEY)
LAW, 1987, AS AMENDED (“THE LAW”)**

**APPLICATION IN RESPECT OF A CLASS, SUB-FUND OR
CELL OF AN EXISTING OPEN-ENDED REGISTERED
COLLECTIVE INVESTMENT SCHEME**

NOTES ON COMPLETION OF FORM REGSF 2015:

1. All questions on this Form REGSF 2015 must be completed, forms received unsigned will be returned.
2. This Form REGSF 2015 should be submitted together with all supporting documentation, where applicable, to:

Guernsey Financial Services Commission
PO Box 128, Gategny Court, Gategny Esplanade, St Peter Port, Guernsey GY1 3HQ
Telephone: 712706 Facsimile: 726952 International Dialling Code: 44 1481
E-mail: authorisations@gfsc.gg Internet: www.gfsc.gg

1. FULL NAME OF THE SCHEME:

2. PROVIDE NAMES OF ALL NEW CELLS / SUB FUNDS / SHARE CLASSES OF THE SCHEME (For the avoidance of doubt, this includes all new separate classes within cells or sub funds of the Scheme):

3. NAME OF DESIGNATED MANAGER:

4. NAME OF DESIGNATED TRUSTEE OR CUSTODIAN:

5. DOES THE NEW CLASS, SUB-FUND OR CELL HAVE THE SAME INVESTMENT; HEDGING OR BORROWING RESTRICTIONS AS AN EXISTING CLASS, SUB-FUND OR CELL OF THE SCHEME? YES NO IF YES, QUESTIONS 8-13 DO NOT REQUIRE COMPLETION.

6. NAME OF INVESTMENT ADVISER/ MANAGER ACTING FOR THE NEW CELLS / SUB FUNDS / SHARE CLASSES OF THE SCHEME:

7. ADDRESS:

8. GIVE BRIEF DETAILS OF THE INVESTMENT OBJECTIVES AND POLICY OF THE NEW CELLS / SUB FUNDS / SHARE CLASSES OF THE SCHEME:

9. GIVE THE GENERIC DESCRIPTION OF THE INVESTMENT STRATEGY OF THE NEW CELLS / SUB FUNDS / SHARE CLASSES OF THE SCHEME:

DEBT	<input type="checkbox"/>	DERIVATIVES	<input type="checkbox"/>
EMERGING MARKETS	<input type="checkbox"/>	FUND OF HEDGE FUND	<input type="checkbox"/>
EQUITIES / SECURITIES	<input type="checkbox"/>	HEDGE FUND	<input type="checkbox"/>
MONEY MARKET / CASH	<input type="checkbox"/>	INFRASTRUCTURE	<input type="checkbox"/>
REAL PROPERTY	<input type="checkbox"/>	MANAGED CURRENCY	<input type="checkbox"/>
VENTURE CAPITAL	<input type="checkbox"/>	PRIVATE EQUITY	<input type="checkbox"/>
BALANCED	<input type="checkbox"/>	OTHER (PLEASE SPECIFY)	<input type="checkbox"/>

IF OTHER, PLEASE DESCRIBE:

10. IN WHAT CLASSES OF ASSETS WILL THE NEW CELLS / SUB FUNDS / SHARE CLASSES OF THE SCHEME PRIMARILY INVEST?

11. PLEASE GIVE MAIN SECTORS AND / OR GEOGRAPHICAL REGIONS IN WHICH THE NEW CELLS / SUB FUNDS / SHARE CLASSES OF THE SCHEME WILL INVEST:

12. STATE THE MAXIMUM PERCENTAGE HOLDING OF ANY ASSET WHICH WILL BE PERMITTED BY THE NEW CELLS / SUB FUNDS / SHARE CLASSES OF THE SCHEME:

13. STATE THE MAXIMUM LEVEL OF BORROWING OR GEARING WHICH WILL BE PERMITTED THE NEW CELLS / SUB FUNDS / SHARE CLASSES OF THE SCHEME:

14. STATE THE MINIMUM LEVEL OF SUBSCRIPTION AND MINIMUM LEVEL OF HOLDINGS IN THE NEW CELLS / SUB FUNDS / SHARE CLASSES OF THE SCHEME (NUMBER OF SHARES AND/OR VALUE WITH CURRENCY):

15. IS THERE A REQUIREMENT FOR A DEROGATION FROM THE REGISTERED COLLECTIVE INVESTMENT SCHEME RULES 2015, THE PROSPECTUS RULES 2008 OR ANY OTHER APPLICABLE RULES? YES NO
IF SO, PLEASE PROVIDE DETAILS BELOW INCLUDING THE FULL REASON WHY SUCH A DEROGATION IS CONSIDERED NECESSARY BY THE DESIGNATED MANAGER:

DECLARATION BY THE DESIGNATED MANAGER

- I confirm that we, the designated manager of the scheme, have performed sufficient due diligence to be satisfied that the promoter of, and the associated parties to, the scheme continue to be fit and proper and that in this respect consideration has been given to all of the issues set out in the Guidance Document issued by the Commission dated December 2008.
- I confirm that we, the designated manager of the scheme, are content that the disclosures in the scheme’s prospectus/offer document or equivalent meet the requirements of the Prospectus Rules 2008 and that the following disclosures are made in the scheme’s prospectus/offer document or equivalent (please detail the page numbers on which these statements are included):

“The Company is a Registered Open-ended investment scheme registered pursuant to the Protection of Investors (Bailiwick of Guernsey) Law, 1987, as amended and the Registered Collective Investment Scheme Rules 2015 issued by the Guernsey Financial Services Commission (the “Commission”). The Commission, in granting registration, has not reviewed this document but has relied upon specific warranties provided by [●], the Company’s designated manager.”

“The Commission takes no responsibility for the financial soundness of the [Scheme] or for the correctness of any of the statements made or opinions expressed with regard to it.”

PAGE REF.

- I confirm that the information supplied in this form is complete and correct to the best of my knowledge and belief at the time of submission and that there are no other facts material to the application of which the Commission should be aware.
- I am aware it is an offence, under The Protection of Investors (Bailiwick of Guernsey) Law, 1987, as amended in respect of which the Commission exercises its functions, to knowingly or recklessly provide the Commission with information, which is false or misleading in a material manner.

DOCUMENTATION SUBMITTED

In support of the application the following documentation/information is enclosed with this form (please tick):

- The fee as prescribed from time to time by Regulations made under Section 22 of the Law;
- Final versions of the prospectus, offer document or equivalent for the new cells / sub funds / share classes of scheme.

Signed		Date	
Name and position (See Note (a) below)			
Name of Designated Manager			
Address			
Telephone number			

THE DATA PROTECTION (BAILIWICK OF GUERNSEY) LAW, 2001

For the purpose of the Data Protection (Bailiwick of Guernsey) Law, 2001 please note that any personal data provided to the Commission will be used by the Commission to discharge its functions.

NOTES

Note (a): The form must be signed by a director or in relation to an unincorporated body, any member of the committee or similar governing body.